## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Peter C. Brooks et al Serial Number: 10/782,728 Examiner: Roy R. Teller Filing Date: February 18, 2004 CONFIRMATION NO: 3396

Title: C.L.K.-Peptide and S.L.K.-Peptide

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 81.97

Sir:

Applicants hereby submit an Information Disclosure Statement along with attached form PTO/SB/08A. A copy of each listed publication is being submitted, if required, pursuant to 37 C.F.R. \$81.971.98. as indicated below.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-dentified application. Applicants further request that the Examiner initial and return the attached form PTONS0/08A in accordance with MPPE 6609.

Applicants reserve the right to establish the patentiability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, prior art or material to patentability as defined in §1.56.

because		R §1.97(b). This Information Disclosure Statement should be considered by the Office				
		<ol> <li>It is being filed within 3 months of the application filing date of a national application and is other than a continued prosecution application under §1.53(d); — OR —</li> </ol>				
		(2) It is being filed within 3 months of entry of a national stage as set forth in §1.491 in an international application;  — OR — — OR —				
	$\boxtimes$	(3) It is being filed before the mailing date of the first Office Action on the merits;  - OR				
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	office a closes	$\bar{\chi}_{3}^{2}(S, T)$ . Although this Information Disclosure Statement is being filed after the period of in $T$ CFR §157(b), above, it is filed before the mailing date of the carrier of (1) a final totion under §1.115, (2) a notice of allowance under §1.311, or (3) an action that otherwise prosecution on the merits, this Information Disclosure Statement should be considered it is accompanied by one of:				
		a certification as specified in §1.97(e) provided concurrently herewith;				
		a fee of \$180.00 as set forth in $\$1.17(p)$ authorized below, enclosed, or included with the payment of other papers filed together with this statement.				
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	A.	a certification as specified in §1.97(e); and				
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		R §1.97(e). A certification signed by an Attorney of Record is provided herewith as d under 37 CFR §§1.97(b) and (c).				
$\boxtimes$	37 CFR §1.98(a)(2). The content of the Information Disclosure Statement is as follows:					
		Copies of each of the references listed on the attached Form PTO/SB/08A are enclosed herewith.  - OR -				
		Copies of U.S. Patent Documents (issued patents and patent publications) listed on the attached Form PTO/SB/08A are NOT enclosed.				
	$\boxtimes$	- AND/OR				
	M	Copies of Foreign Patent Documents and/or Non Patent Literature Documents listed on the attached Form PTO/SB/08A are enclosed in accordance with 37 CFR §1.98(a)(2).  - AND/OR —				
		Copies of pending unpublished U.S. patent applications are enclosed in accordance with 37 CFR §1.98(a)(2)(iii).				

	37 CF.		Statement includes non-English patents and/or	
			tise explanation of the relevance of each patent, that is not in English is provided herewith.	
		Pursuant to 37 CFR §1.98(a)(3)(ii), a correference(s) is provided herewith	by of a translation of the non-English language	
			rresponding patent application(s), submitted in tached certification under 37 CFR §1.97(e)(1).	
⊠	of \$0.	00 and charge any additional fees or	09 D in support of the attached certification under 37 CFR §1.97(e)(1).  Commissioner is hereby authorized to charge the above-referenced fees ny additional fees or credit any oversyment associated with this it Account No. 23-2415 (Docket, No. 31747-705.201).  Respectfully submitted,	
		F	despectfully submitted,	
		V	VILSON SONSINI GOODRICH & ROSATI	
Dated:		31 <i>16106</i> .	by: My G J W J J J J J J J J J J J J J J J J J	

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PTO/SB/05A (07-05)

Approved for use through 07/31/2006. OMB 0651-0011 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

				Complete if Known		
Substitute fo	Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Application Number	10/782,728	
INFORM				Filing Date	02/18/2004	
STATEM				First Named Inventor	Peter C. Brooks	
(Use as				Art Unit	1654	
				Examiner Name	Roy R. Teller	
Sheet 1 of 1				Attorney Docket Number	31747-705.201	

## THE BATEMED DOCUMENTS

Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or	Pages, Columns, Lines, When
		Number-Kind Code <sup>2</sup> (§'known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		US-			
		US-	1		
		US-			
		US-	1		
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## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code' - Number' - Kind Code' (ff Innum)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Cohimes, Lines, Where Relevant Passages or Relevant Pagents Appear	т
		WO-00-59532 A1	10-12-2000	Biostratum, Inc.		
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xaminer				Date		_
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Segments: Considered | Consider Translation is attached.

This collection of information is required by 37 CFR 1 97 and 1.5%. The information is required to obtain or return a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CPR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and splenuting the complete gathering, preparing, and splenuting the complete gathering. on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandra, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND

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